



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mel H. Epstein and Kjesten A. Wiig
Application No.: 10/087,232 Group Art Unit: 1614
Filed: February 28, 2002 Examiner: Unknown
Confirmation No.: 1188
For: USE OF METHYLPHENIDATE COMPOUNDS TO ENHANCE MEMORY

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202	
on <u>2-7-03</u>	<u>JANE MORGAN</u>
Date	Signature
<u>JANE MORGAN</u>	
Typed or printed name of person signing certificate	

SUBMISSION OF ADDITIONAL FEES DUE; REQUEST FOR CORRECTED
FILING RECEIPT; AND REQUEST FOR CHANGE OF DOCKET NUMBER

Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

03/27/2003 MABAWI 00000003 181945 10087232

01 FC:2202 207.00 CH

Sir:

A Notice to File Missing Parts of Nonprovisional Application was mailed from the U.S. Patent and Trademark Office on April 26, 2002. A Reply to Notice to File Missing Parts was mailed to the U.S. Patent and Trademark Office on May 9, 2002, with authorization to charge fees totaling \$1,373.00 to the Deposit Account of the previous Attorney of record. The correct total claim count is 100 claims, not 76 claims as paid in the Reply. Therefore, there is a deficit of \$216.00 in filing fees.

02/12/2003 SDEMB001 00000138 080380 10087232
01 FC:2202 216.00 CH

01 FC:2202 SDEMB001 00000138 080380 10087232



UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2
JUN 17 2002
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/087,232	02/28/2002	1614	1373 1589	NIIN-P02-010 3474,000-005	11	5 100	10

28120
ROPES & GRAY
ONE INTERNATIONAL PLACE
BOSTON, MA 02110-2624

CONFIRMATION NO. 1188

UPDATED FILING RECEIPT



OC000000008264775

Date Mailed: 06/11/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mel Epstein, Bristol, RI;
Kjesten A. Wiig, Providence, RI;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/941,238 08/28/2001
AND CLAIMS BENEFIT OF 60/228,478 08/28/2000
AND CLAIMS BENEFIT OF 60/235,972 09/28/2000

Foreign Applications

If Required, Foreign Filing License Granted 04/25/2002

Projected Publication Date: 09/19/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Use of methylphenidate compounds to enhance memory

R&G
Docket No.: NIIN-P02-010
Action: Review Assign Refs
Stat. Deadline: 26 Jun 02

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Although there was an authorization to charge any deficiencies in this case, the \$216.00 deficiency apparently was not charged to the previous Attorney's Deposit Account, as shown in the accompanying Exhibit. Thus, Applicants' current Attorney is submitting a Substitute Fee Transmittal for Patent Applications indicating the correct number of total claims. Please charge the \$216.00 deficiency to Deposit Account No. 08-0380. An additional copy of the Substitute Fee Transmittal for Patent Applications is enclosed for accounting purposes.

Applicants' Attorney also hereby requests that the following items of information be corrected in the Filing Receipt for the subject application mailed June 11, 2002.

Please change the FIL FEE REC'D amount from "1373" to --- 1589 --- .

Please change the TOT CLAIMS amount from "51" to --- 100 ---.

Please change the ATTY DOCKET NO. from "NIIN-P02-010" to --- 3474.1000-005 ---.

Enclosed are copies of the Filing Receipt with changes noted in red and a Substitute Fee Transmittal for Patent Applications.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Applicants' Attorney further requests that the docket number of record for the above-referenced case be changed to 3474.1000-005 as noted above. This request is made to better identify this application within our offices.

Please charge Deposit Account No. 08-0380 for any additional fees that may be due in this matter. One additional copy of this document is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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Concord, MA 01742-9133

Date: February 7, 2003

SUBSTITUTE
FEE TRANSMITTAL FOR
PATENT APPLICATIONS

Attorney Docket Number

3474.1000-005 (NIIN-P02-010)

Application Number

10/087,232

First Named Inventor

Mel H. Epstein

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	100 - 20* =	80	x \$ 18 =	\$ 1440
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	10 - 3** =	7	x \$ 84 =	\$ 588
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 280 =	\$ 280
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 740
				Total of above Calculations =	\$ 3048
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$ 1524
				TOTAL =	\$ 1524
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$ 65
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$
				TOTAL =	\$ 1589
	LESS: Fee submitted with Notice to File Missing Parts received at PTO on May 21, 2002				-\$ 1373
				TOTAL DUE=	\$ 216

* Reissue claims in excess of 20 and over original patent
** Reissue independent claims over original patent

1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☐ A check is enclosed for \$[]. ☒ Please charge \$216 to Deposit Account No. 08-0380.

4. ☐ Other: _____

Signature

Mary K. Murray

Date

February 7, 2003

Submitted by
Typed or Printed Name

Mary K. Murray

Reg. Number

47,813